

## Instructions for Gifting Shares

Do not use for an IRA

### Instructions

The instructions below will help you gift shares to an individual or to a non-profit organization.

For a gift to an individual or non-profit organization in a new Dodge & Cox Funds account:

- Account owner(s) must complete Parts 1-3.
- Gift must meet each Fund's minimum \$2,500 investment if recipient intends to hold the shares.
- Account owner(s) must obtain a **Medallion Signature Guarantee** in Part 3.
- Recipient of shares must sign in Part 4 and attach a completed **Account Application**.
- If the recipient would like to redeem the gifted shares, attach a **Redemption Request Form**.

For a gift to an individual or non-profit organization in an existing Dodge & Cox Funds account:

- Account owner(s) must complete Parts 1-3.
- Shares must be gifted within the same Fund.
- Account owner(s) must obtain a **Medallion Signature Guarantee** in Part 3.
- Recipient of shares must sign in Part 4.
- If the recipient would like to redeem the gifted shares, attach a **Redemption Request Form**.

### Important Information

A gift of mutual fund shares is a complicated tax issue and you should seek advice from an independent tax advisor prior to gifting shares. Once processed, you will NOT be able to change the tax lots used to fulfill the request.

- Dodge & Cox Funds will deplete any non-covered shares (acquired prior to January 1, 2012) first, unless the cost basis method on your account is Specific Lot Identification.
- For a gift of covered shares (acquired beginning January 1, 2012), Dodge & Cox Funds will deplete covered shares according to the current cost basis method on the account. You can designate the cost basis method for covered shares in your account by completing the Cost Basis Election Form.
- If the account's current cost basis method is Specific Lot Identification, please attach separate instructions listing the specific share lot(s) to use.

# Gift of Shares Form

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## Mailing Address

Regular Mail:  
Dodge & Cox Funds  
P.O. Box 219502  
Kansas City, MO 64121-9502

Express, Certified, or Registered Mail:  
Dodge & Cox Funds  
430 W 7th Street, Suite 219502  
Kansas City, MO 64105-1407

## Part 1 Current Account Information

Name/Account Registration

( )

Contact Phone Number

Fund

Account Number

## Part 2 Gift Instructions

Gift to: (check one box only)

- A new Dodge & Cox Funds account. Attach a completed **Account Application** for recipient.
- An existing Dodge & Cox Funds account.

Name of Recipient

Fund

Account Number

Gift the amount indicated below: (check one box only)

- Gift percentage of account: \_\_\_\_\_ % (if entire account, write 100%)
- Gift dollar amount: \$ \_\_\_\_\_
- Gift number of shares: # \_\_\_\_\_

If the current cost basis method is Specific Lot Identification and the gift is less than 100%, attach separate instructions listing specific share lots to gift.

## Gift of Shares Form

**Part 3**  
**Current Account**  
**Owner's Signature**  
**Authentication**



\_\_\_\_\_  
Signature

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Date

\_\_\_\_\_  
Print Name



\_\_\_\_\_  
Signature

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Date

\_\_\_\_\_  
Print Name

Medallion Signature Guarantee

NOTE: A medallion signature guarantee may be obtained from a domestic bank or trust company, broker, dealer, clearing agency, savings association, or other financial institution which participates in a Medallion program recognized by the Securities Transfer Association. Signature guarantees from financial institutions which do not participate in a Medallion program will not be accepted. A notary public cannot provide signature guarantees.

**Part 4**  
**Recipient's Certification**  
**and Signature**

I acknowledge that if (i) my Dodge & Cox Funds account uses the Average Cost basis method and (ii) the shares were transferred while in a loss position, Dodge & Cox Funds will use the fair market value on the date the gift transfer is processed as the basis for the transferred shares. For shares transferred while in a gain position, Dodge & Cox Funds will use the donor's cost basis for the transferred shares, if known.



\_\_\_\_\_  
Signature of Recipient

\_\_\_\_/\_\_\_\_/\_\_\_\_  
Date