Dodge & Cox®
Worldwide Funds

dodgeandcox.com
+353 1 242 5411

Account Application – Institutions and Intermediaries

Print
Clear Form

Instructions

For initial subscriptions for shares of the Dodge & Cox Worldwide Funds plc (the "Company") for **Institutions**, this completed application may be sent by fax or email with the originals to follow by post immediately thereafter.

Failure to provide the original Account Application along with the required Anti-Money Laundering documentation may result in the deduction of tax due to the Irish Finance Act rules. Redemptions and transfers will not be processed on non verified accounts.

An authorised signatory list must be provided at the time of account opening and the Account Application must be signed by no less than two authorised signatories.

Regular Mail:
Dodge & Cox Worldwide Funds plc
c/o State Street International (Ireland) Limited
78 Sir John Rogerson's Quay
Dublin 2
Ireland

Phone:
+353 1 242 5411

Email:
General Inquires: DCTA@statestreet.com
Account Applications: TARegistration.eb@statestreet.com
Trade Instructions: DCTrades@statestreet.com

Fax:
+353 1 523 3799

☐ Please tick here if this has already been sent by email or facsimile transmission.

We are obliged under Section 891E, Section 891F, and Section 891G of the Irish Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA and CRS please refer to the Irish Revenue website at www.revenue.ie/en/business/aeoi/index.html and the OECD CRS Information Portal at www.oecd.org/tax/automatic-exchange/.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Mandatory fields on the application related to tax reporting are marked with an *.

Account Applications, once received, are irrevocable and binding on the applicant. An Account Application may be cancelled or modified only at the discretion of the Company having received a written request for cancellation or modification from the applicant prior to the Dealing Deadline stated in the Prospectus. Any Account Application received by the Administrator after the Dealing Deadline shall be held in abeyance and shall be effective on the next succeeding Dealing Day.

Part 1
Account Registration

Registered Name*

Beneficial Owner (if different from above)

Country of Incorporation or Organisation

Registered Address* (PO or C/O will not be accepted)

City/Town | **Post Code** | **Country**

Part 1 Account Registration (continued)

Contact Name

Email Address

Telephone Number (include country code)

Facsimile (include country code)

Part 2 Account Mailing/ Correspondence (If Different from Registered Address)

Complete this section only if you wish to receive all contract notes, statements, and correspondence at an address other than the registered address.

Mailing Address

City/Town

Post Code

Country

Part 3 Additional Recipients of Information

Complete this section to designate additional recipients of information. Please provide a contact name and email address for the recipient and tick the box for the types of information that should be sent.

Contact Name

Email Address

Contact Name

Email Address

☐ Contract Note ☐ Account Statements ☐ Dividend Statements ☐ Confirmations

Part 4 CRS Declaration of Tax Residency*

Please indicate the applicant's place of tax residence for CRS purposes (If resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency

Tax ID Number

Country of Tax Residency

Tax ID Number

NOTE: Provision of a Tax ID number is required unless the Entity is resident in a jurisdiction that does not issue a Tax ID number. If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that above and provide the Entity's place of effective management or country in which its principal office is located.

Part 5 CRS Classification*

Unless otherwise stated, all terms in this Part identified in *italics* are as defined in the OECD Common Reporting Standard and associated commentary, which are available at www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/.

If the applicant is a *Financial Institution*, please tick one of the below categories and follow the applicable instructions.

☐ A. *Financial Institution* other than as defined in (B) below

☐ B. *An Investment Entity* located in a *Non-Participating Jurisdiction* and managed by another *Financial Institution* **(If this box is ticked, please complete a separate CRS Individual Self Certification Form available at Appendix I of this Application for each of your *Controlling Person/s*)****

If the applicant is not a *Financial Institution*, please tick one of the below categories.

☐ A. *Active Non-Financial Entity (Active NFE)* – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation

☐ B. *Active Non-Financial Entity (Active NFE)* – a *Governmental Entity* or *Central Bank* or an *Entity* wholly owned by one of more of the foregoing

☐ C. *Active Non-Financial Entity (Active NFE)* – an *International Organisation* or *Entity* wholly owned by the foregoing

☐ D. *Active Non-Financial Entity (Active NFE)* – other than (A) through (C) above (for example, a start-up *NFE* or a non-profit *NFE*)

☐ E. *Passive Non-Financial Entity (Passive NFE)* **(If this box is ticked, please complete a separate CRS Individual Self-Certification Form available at Appendix I of this Application for each of your *Controlling Person/s*)****

Part 5 CRS Classification* (continued)

** Please note that, where applicable, each ***Controlling Person*** must complete a Separate Individual Self-Certification form. If there are no natural person(s) who exercise control of the applicant then the ***Controlling Person*** will be the natural person(s) who hold the position of senior managing official of the applicant.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary on Section VIII of the CRS at www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/.

Part 6 FATCA Declaration and Classification*

Please note that the applicant's FATCA classification may differ from its CRS classification in Part 5. Applicants may provide the Transfer Agent a completed IRS Form W-8BEN-E instead of completing this Part.

Unless otherwise stated, all terms identified in *italics* are as defined in the Agreement between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA (the "Agreement"), a copy of which is available on the Irish Revenue website at: www.revenue.ie/en/companies-and-charities/documents/aeoi/fatca-intergovernmental-agreement.pdf

Specified U.S. Person Declaration — Please tick either (A) or (B) below and complete as appropriate.

- ☐ A. The applicant **is** a *Specified U.S. Person* and the Entity's U.S. Federal taxpayer identification number is provided in Part 4.
- ☐ B. The applicant **is not** a *Specified U.S. Person* **(please also complete the FATCA Classification section below)**.

FATCA Classification — Please tick the appropriate classification with respect to an applicant that is not a *Specified U.S. Person*.

If the applicant is a *Financial Institution* and has a *Global Intermediary Identification Number (GIIN)*, please tick **one** of the below categories, and provide the applicant's *GIIN*:

- ☐ A. *Irish Financial Institution* or a *Partner Jurisdiction Financial Institution*
- ☐ B. *Registered Deemed Compliant Foreign Financial Institution*
- ☐ C. *Participating Foreign Financial Institution*

GIIN: _____

If the applicant is a *Financial Institution* but unable to provide a *GIIN*, please tick **one** of the below reasons:

- ☐ A. *Partner Jurisdiction Financial Institution* and has not yet obtained a *GIIN*
- ☐ B. The applicant has not yet obtained a *GIIN* but is sponsored by another entity which does have a *GIIN*
  Provide the sponsor's name _____ and *GIIN*: _____
- ☐ C. *Exempt Beneficial Owner*
- ☐ D. *Certified Deemed Compliant Foreign Financial Institution* (including a deemed compliant *Financial Institution* under Annex II of the Agreement).
- ☐ E. *Non-Participating Foreign Financial Institution*
- ☐ F. *Excepted Foreign Financial Institution*
- ☐ G. *U.S. person* but not a *Specified U.S. Person*

If the applicant is not a *Financial Institution*, please tick **one** box below to confirm the Entity's FATCA status:

- ☐ A. The applicant is an *Active Non-Financial Foreign Entity*
- ☐ B. The applicant is a *Passive Non-Financial Foreign Entity*. Provide the following details on a separate page with respect to *Controlling Persons* of the applicant (whose percentage of ownership is 25% or greater) that are U.S. citizens or resident in the United States for tax purposes: full name, date of birth, residence address, details of ownership, tax ID
- ☐ C. The applicant is an *Excepted Non-Financial Foreign Entity*
- ☐ D. The applicant is a *U.S. Person* but not a *Specified U.S. Person*

Part 7 Details of Investment

The applicant, having received and read a copy of the Company's Prospectus and UCITS Key Investor Information Document or PRIIPs Key Information Document ("KID") as applicable for the Fund(s) and share class(es) as indicated in the table below, hereby applies to invest in the Company. Subscription information is available in Part 16.
By indicating an amount below, the applicant acknowledges a subscription order will be entered at the time this Account Application is received in good order or for the trade date listed below, whichever is later.

Trade Date: _____/_____/_____
(dd/mm/yyyy)

Global Stock Fund	Number of Shares	or Value of Subscription
☐ USD Accumulating Class ISIN# IE00B54PRV58		
☐ USD Distributing Class ISIN# IE00BK8V1670		
☐ GBP Accumulating Class ISIN# IE00B54J6879		
☐ GBP Distributing Class ISIN# IE00B54PSJ04		
☐ GBP Distributing Class (H) ISIN# IE00BYVQ3H23		
☐ EUR Accumulating Class ISIN# IE00B55JMJ98		
☐ CAD Accumulating Class ISIN# IE00B4K6MX26		
☐ NOK Accumulating Class ISIN# IE0001IB98H8		
☐ SEK Accumulating Class ISIN# IE000VQVTIJ0		

U.S. Stock Fund	Number of Shares	or Value of Subscription
☐ USD Accumulating Class ISIN# IE00B520HN47		
☐ USD Distributing Class ISIN# IE00BKT5YP86		
☐ GBP Accumulating Class ISIN# IE00B50M4X14		
☐ GBP Distributing Class ISIN# IE00B51BJD26		
☐ GBP Distributing Class (H) ISIN# IE00BYVQ3J47		
☐ EUR Accumulating Class ISIN# IE00B50MWL50		

Emerging Markets Stock Fund	Number of Shares	or Value of Subscription
☐ USD Accumulating Class ISIN# IE00BN6JJ043		
☐ GBP Accumulating Class ISIN# IE00BN6JJ159		
☐ GBP Distributing Class ISIN# IE00BN6JJ266		
☐ EUR Accumulating Class ISIN# IE00BN6JJ373		

Global Bond Fund	Number of Shares	or Value of Subscription
☐ USD Accumulating Class ISIN# IE00B5568D66		
☐ GBP Distributing Class ISIN# IE00B556C015		
☐ GBP Distributing Class (H) ISIN# IE00BLG2YK48		
☐ EUR Accumulating Class ISIN# IE00B51Q8R41		
☐ EUR Accumulating Class (H) ISIN# IE00BLG30W12		
☐ EUR Distributing Class ISIN# IE00BLG2YF94		
☐ EUR Distributing Class (H) ISIN# IE00BLG2YG02		
☐ CHF Distributing Class (H) ISIN# IE00BN6JJ480		

NOTE:
Please separate thousands using a comma (example two million USD = USD 2,000,000.00)
Please separate decimals from whole numbers using a decimal point (example: shares 48.567)
Cash to 2 decimal places only and shares to 3 decimal places maximum (example: USD 2,000,000.00 or shares 48.567)

Part 8 Distribution Election

Please select one of the following options. Your distribution election will apply to all investments in distributing share classes within your account. Accumulating share classes do not make distributions. If no election is made, distributions will be invested in additional Fund shares.

☐ Pay distributions by wire transfer to bank account provided

OR

☐ Invest distributions in additional Fund shares

Part 9 Broker Representative Details (if applicable)

Broker ID _____ Branch ID _____

Broker Name _____

Broker Address _____

Representative Name _____ Representative ID _____

Telephone Number _____ Facsimile _____ Email Address _____

Please indicate if a duplicate statement should be sent to your broker representative.

☐ YES ☐ NO

Part 10 Bank Account Details for Redemption Payments

Please list the details of the bank account to which redemption proceeds should be paid. Payments will only be made to a bank account held in the name of the registered shareholder. No third party payments will be made.

Both IBAN & SWIFT (BIC) Codes should be quoted for all banks within the EU/EEA.

Beneficiary Bank Name _____

Beneficiary Bank Address _____

Beneficiary Bank Sort Code / SWIFT (BIC) / ABA / Fedwire _____

Beneficiary Account Name _____

Beneficiary Account Number _____

Reference _____

(if applicable)

Correspondent Bank Name _____

Correspondent Bank Address _____

Correspondent Bank Sort Code/SWIFT (BIC) / ABA / Fedwire _____

Part 11 SWIFT Order Details

If orders will be placed using SWIFT (or an intermediary that connects to State Street via SWIFT), please provide the SWIFT identifier from which orders will be sent for the relevant account.

SWIFT identifier of the trading entity or intermediary

Business Identifier Code (BIC): _____

Distinguished Name (DN) for ISO 20022 messages: _____

Part 12 Declaration of Residence Outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares.

Terms used in this declaration are defined in the Prospectus. Please tick either A or B below unless the applicant is a resident or ordinarily resident in Ireland.

☐ **A. Declaration on Own Behalf**

i. I/We (I) declare that I am applying for the Shares on my own behalf/on behalf of a company and that I am/the company is entitled to the Shares in respect of which this declarations made and that I am/the company is not currently resident or ordinarily resident in Ireland, and, should I/the company become resident in Ireland, I will so inform you, in writing, accordingly.

☐ **B. Declaration as Intermediary**

i. I/We (I) declare that I am applying for the Shares on behalf of persons who will be beneficially entitled to the Shares, and who to the best of my knowledge and belief, are neither resident nor ordinarily resident in Ireland, and

ii. I also declare that unless I specifically notify you to the contrary at the time of application, all applications for Shares made by me from the date of this application will be made on behalf of such persons; and I will inform you in writing if I become aware that any person, on whose behalf I hold Shares, becomes resident in Ireland.

Part 13 Telephone Dealing Authorisation

Subsequent subscriptions may be made in writing, by email or facsimile, or by telephone (+353 1 242 5411), stating your registration details (including account number) and the amount to be invested. Telephone dealing authorisation is automatically established once this Account Application is received in good order. Please note that telephone calls may be recorded. Only designated authorised signatories may provide dealing instructions on the account.

Part 14 Data Protection Notice and Acknowledgement

I hereby acknowledge that by completing this Account Application I have provided personal information, which may constitute "personal data" within the meaning of the Irish Data Protection Acts 1988 to 2018, the General Data Protection Regulation (Regulation (EU) 2016/679), the EU ePrivacy Directive 2002/58/EC (as amended) and any relevant transposition of, or successor or replacement to, those laws (including, when it comes into force, the successor to the ePrivacy Directive) (together, the "Data Protection Legislation"). I acknowledge that personal data will be used by the Company for the following purposes: (i) to manage and administer a Shareholder's holding in the Company and any related accounts on an ongoing basis in accordance with the contract between the applicant and the Company;(ii) to carry out statistical analysis and market research as the Company's legitimate business interest; (iii) to comply with legal and regulatory obligations applicable to the applicant and the Company from time to time, including applicable anti-money laundering and counter-terrorist financing legislation. In particular, in order to comply with the Common Reporting Standard (as implemented in Ireland by Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections) and FATCA, the applicants' personal data (including financial information) may be shared with the Irish Revenue Commissioners. They in turn may exchange information (including personal data and financial information) with foreign tax authorities (including the U.S. Internal Revenue Service and foreign tax authorities located outside the European Economic Area). Applicants are advised to consult the AEOI (Automatic Exchange of Information) web page on www.revenue.ie for further information in this regard; and (iv) for any other specific purposes where the applicant has given specific consent. I acknowledge that personal data may be disclosed by the Company to its delegates, professional advisors, service providers, regulatory bodies, auditors, technology providers, and any duly authorised agents or related, associated, or affiliated companies of the foregoing for the same or related purposes.

Part 14 Data Protection Notice and Acknowledgement (continued)

I acknowledge that personal data may be transferred to countries which may not have the same or equivalent data protection laws as Ireland. If such transfer occurs, the Company is required to ensure that such processing of the applicant's personal data is in compliance with Data Protection Legislation and, in particular, that appropriate measures are in place such as entering into Model Contractual Clauses (as published by the European Commission) or ensuring that the recipient is Privacy Shield certified, if appropriate. For more information on the means of transfer of applicant's data or a copy of the relevant safeguards, please contact the Company's Administrator at DCTA@statestreet.com.

Pursuant to the Data Protection Legislation, applicants have the right to object to processing of personal data by the Company and a number of other rights which may be exercised in respect of their personal data, i.e. the right of access to personal data held by the Company; the right to amend and rectify any inaccuracies in personal data held by the Company; the right to erase personal data held by the Company; the right to data portability of personal data held by the Company; and the right to request restriction of the processing of personal data held by the Company. I acknowledge that these rights will be exercisable subject to limitations as provided for in the Data Protection Legislation. The applicant may make a request to the Company to exercise these rights by contacting the Administrator at DCTA@statestreet.com.

Personal data may be retained by the Company for the duration of an applicant's investment and afterwards in accordance with the Company's legal and regulatory obligations, including but not limited to the Company's record retention policy. The Company is a data controller within the meaning of the Data Protection Legislation and undertakes to hold any personal information provided by applicants in confidence and in accordance with the Data Protection Legislation. For queries, requests or comments in respect of this notice or the way in which the Company uses applicant's personal data, please contact the Administrator at DCTA@statestreet.com. Applicants have the right to lodge a complaint with the Office of the Data Protection Commissioner.

Part 15 Declarations and Signatures

The applicant, having received and considered a copy of the Prospectus, hereby confirms that this Account Application is based solely on the current Prospectus and the material contracts therein referred to together (where applicable) with the most recent annual report or semi-annual report and accounts of the Company.

The applicant hereby represents that it has regular access to the internet. The applicant acknowledges that it has been offered the choice of receiving the KID applicable to the Fund(s) and share class(es) to which it is subscribing on paper and in electronic form by means of a website and hereby specifically consent to receiving the KID in electronic form by accessing the latest version of the document online at dodgeandcox.com (or such other website address as may be notified to the applicant from time to time).

The applicant hereby acknowledges that it has received on paper or accessed by electronic means the KID. The applicant also consents to accessing the KID by electronic means before making any subsequent and/or future subscriptions for Shares in any share class and/or Fund.

The applicant hereby acknowledges and consents that, except where otherwise required by law, this Account Application, all related documents, and statements of my account will be drafted and provided only in English.

Where the applicant is acquiring the Shares for or on behalf of any person in its capacity as a sub-distributor of the Company, the applicant certifies that prior to accepting any order for the acquisition of Shares, the applicant will ensure that the investor will be provided with the current KID for the relevant share class. Where permitted by applicable law, "provided" shall include informing the investor of the applicable website where the KID may be obtained, which is dodgeandcox.com (or such other website address as may be notified to the applicant from time to time).

The applicant acknowledges that it may at any time request a hard copy of any such documentation from the Company and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically.

The applicant undertakes to observe and be bound by the provisions of the Constitution of the Company (as amended from time to time) and apply for the Shares issued in relation to this Account Application to be entered in the register of Shareholders in the applicant's name (or in the name of a nominee or agent).

Part 15
Declarations
and Signatures
(continued)

The applicant acknowledges that, owing to anti-money laundering requirements operating within their respective jurisdictions, the Company, its Directors, the Manager, the Administrator, the Investment Manager, and any distributor which may be appointed (as the case may be) may require further identification of the applicant(s) before the Account Application can be processed and the Company, its Directors, the Manager, the Administrator, the Investment Manager, and any distributor which may be appointed shall be held harmless and indemnified against any loss arising as a result of a failure to process the Account Application if such information has been requested by the parties referred to and has not been provided by the applicant.

The applicant acknowledges that subscription monies received by a Fund in advance of the issue of Shares will be held in a single umbrella cash account opened in the name of the Company (the "Umbrella Cash Account") and will be treated as an asset of the relevant Fund. For the avoidance of doubt, such monies will not be held in an account that is subject to the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) Investor Money Regulations 2015 for Fund Service Providers. Accordingly, subscribing investors will be unsecured creditors of the relevant Fund with respect to the subscription amount until the corresponding Shares are issued on the relevant Dealing Day. Such investors will not benefit from any appreciation in the Net Asset Value of the Fund or any other Shareholder rights in respect of the subscription amounts (including dividend entitlements) until such time as the Shares are issued.

The applicant acknowledges that monies held in an Umbrella Cash Account will be commingled with the assets and liabilities of the other Funds and will be exposed to counterparty risk, the risk of market conditions generally, the Fund's creditors and any other risks affecting the relevant Fund. In the event of an insolvency of a Fund, there is no guarantee that the Fund will have sufficient funds to pay unsecured creditors (including investors entitled to subscription, redemption and dividend payments) (see above) in full.

The applicant acknowledges that no interest will be paid on the amounts held in the Umbrella Cash Account prior to the payment of any redemption or dividend proceeds. Any interest earned on the monies in the Umbrella Cash Account will be for the benefit of the relevant Fund and will be allocated to the Fund on a periodic basis for the benefit of the Shareholders at the time of the allocation.

The applicant hereby authorises the Administrator to accept and execute any instructions, (including but not limited to any instructions regarding subscriptions, switches, transfers, or redemptions of Shares or any payment in relation to same or otherwise) in respect of Shares to which this Account Application relates, given by the applicant in written form, by email or facsimile, by telephone, or by electronic means. If the instructions are given by the applicant by telephone, the applicant undertakes to confirm them in writing. The applicant hereby agrees to indemnify each of the Administrator, the Manager, and the Company and agrees to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either of them acting upon instructions given in written form by the applicant, i.e., email, facsimile, or telephone instructions confirmed by the applicant in writing. The Administrator, the Manager, and the Company may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instruction, or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

The applicant consents to any notice or other document to be sent by the Company, the Manager, or the Administrator to the applicant as a Shareholder, by electronic means including but not limited to e-mail, SWIFT, or posting such notice or other document on a website notified to the applicant by post or by e-mail.

The applicant agrees to provide these representations to the Company, its Directors and/or the Manager at such times as either of them may request and to provide on request such certifications, documents, or other evidence as the Company, its Directors and/or the Manager may reasonably require to substantiate such representations.

The applicant understands that the representations and warranties made herein are continuous and all subsequent subscriptions of Shares in a Fund by the applicant shall be governed by them, and the applicant agrees to notify the Company, the Manager, or the Administrator immediately, if any representation or warranty is no longer accurate and to abide by any directions from the Company, the Manager or the Administrator arising as a result.

Part 15
Declarations and Signatures
(continued)

The applicant acknowledges that the Company reserves the right to redeem or require the transfer of any Shares which are or become owned, directly or indirectly, by a U.S. Person or other person if the holding of the Shares by such other person is unlawful or, in the opinion of the Directors, the holding might result in the Company, a Fund or the Shareholders as a whole incurring any liability to taxation or suffering pecuniary or material administrative disadvantage which the Company, a Fund or the Shareholders as a whole might not otherwise suffer or incur and the applicant shall indemnify the respective Fund, the Company and the Shareholders as a whole for any loss suffered by it or them as a result of the applicant acquiring or holding Shares in the Company.

The applicant confirms that it is not acquiring Shares in a Fund on behalf of, or for the benefit of, a U.S. Person (as defined in the Prospectus), nor does the applicant intend to transfer any Shares which may be purchased to any U.S. Person.

The applicant hereby certifies that it is aware of the risks involved in the proposed investment as set out in the Prospectus.

The applicant confirms that it is in agreement with the distribution policy as outlined in the Prospectus.

The applicant acknowledges that the information contained in this Account Application and information regarding the applicant and its Controlling Persons may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the applicant or its Controlling Persons may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information.

The applicant undertakes to advise the Company, the Manager, or the Administrator promptly and provide updated information where any change in circumstances occurs which causes any of the information contained in this Account Application to be incorrect.

The applicant declares that the information provided in this Account Application and contained in the declarations above is true and correct.

Each signatory below confirms that he/she is a person over 18 years of age and has the capacity and is duly authorised to complete this Account Application and to make the representations and give the indemnities referred to herein on behalf of the applicant.

➡ _____ _____/_____/_____

Signature (1) **Date (dd/mm/yyyy)**

_____

Capacity of Authorised Signatory

_____

Name of Authorised Signatory (1)

➡ _____ _____/_____/_____

Signature (2) **Date (dd/mm/yyyy)**

_____

Capacity of Authorised Signatory

_____

Name of Authorised Signatory (2)

Part 16
Subscription Information

Currency	Wire Instructions	
U.S. Dollar	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	6550462898
	IBAN:	N/A
	ABA:	026009593
	Bank:	Bank of America, NA
	SWIFT Code:	BOFAUS3N
	Sort Code:	N/A
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name
Euro	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	46445020
	IBAN:	GB49BOFA16505046445020
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	BOFAGB22
	Sort Code:	N/A
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name
British Pound	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	46445012
	IBAN:	GB71BOFA16505046445012
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	BOFAGB22
	Sort Code:	165050
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name
Canadian Dollar	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	46445038
	IBAN:	N/A
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	BOFAGB22
	Sort Code:	N/A
	Intermediary Bank Name:	Bank of America Toronto
	Intermediary Bank SWIFT:	BOFACATT
	Reference:	Fund Account Registration Name
Swiss Franc	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	50825012
	IBAN:	CH7308726000050825012
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	BOFACH2X
	Sort Code:	8726
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name

Part 16 Subscription Information (continued)

Currency	Wire Instructions	
Norwegian Krone	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	46445046
	IBAN:	GB26BOFA16505046445046
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	BOFAGB22
	Sort Code:	N/A
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name
Swedish Krona	Account Name:	Dodge & Cox Worldwide Funds plc
	Account Number:	46445054
	IBAN:	GB04BOFA16505046445054
	ABA:	N/A
	Bank:	Bank of America, NA
	SWIFT Code:	HANDSESS
	Sort Code:	N/A
	Intermediary Bank Name:	N/A
	Intermediary Bank SWIFT:	N/A
	Reference:	Fund Account Registration Name

Part 17 Important Information

Non resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.

To be valid, this Account Application (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the company secretary or another authorised officer.

If the Account Application (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Applicants who are resident or ordinarily resident in Ireland or are an Exempt Irish Resident as defined in the Prospectus, please contact the Administrator immediately.

For UK investors, Dodge & Cox Worldwide Funds plc – Global Stock Fund, Dodge & Cox Worldwide Funds plc – U.S. Stock Fund, Dodge & Cox Worldwide Funds plc – Emerging Markets Stock Fund, and Dodge & Cox Worldwide Funds plc – Global Bond Fund (the "Funds") have adopted Reporting Fund status for UK Offshore Funds purposes for all share classes except those denominated in Canadian dollars. Each annual reporting period, the Company will make pertinent UK Reporting Fund tax information available to UK investors who hold an interest in the Company on its website at dodgeandcox.com. Such information will be available on or before 30 June of each year (i.e., within six months of the day immediately following the end of each annual reporting period). By signing this Account Application I agree to obtain pertinent UK Reporting Fund tax information from the Company's website.

Part 18 Anti-Money Laundering—Know Your Customer Requirements

Under Irish legislation and supplemental guidance covering anti-money laundering, economic sanctions and the taxation of savings, the Company and the Administrator are required to obtain the following documentation to verify the identity of all new applicants. This documentation should be provided with the Account Application. The documentation listed below may not cover all applications and the Company and the Administrator reserve the right to request additional documentation if required.

Should documents be provided in a language other than English, an English translation may be required.

Please note that the application may not be accepted until the Administrator is in receipt of all required anti-money laundering documentation, the original Account Application and the original banking details for the settlement of the redemption proceeds. In any event, redemption and dividend payments will not be processed until full anti-money laundering documentation has been received on the account and the Company and the Administrator reserve the right to take further action where full anti-money laundering documentation has not been received.

Part 18
Anti-Money Laundering—Know Your Customer Requirements
(continued)

Documentation which may be required for Designated Bodies in certain Countries

1. Confirmation of name and address
2. Confirmation of regulatory body
3. Original authorised signatory list
4. Original letter of assurance (where investing as an intermediary*)
5. Original/certified declaration of beneficial owner(s) form
6. Verification of the identity of all senior managing officials if there is no natural person who owns more than 25%

* Additional information/documentation may be required subject to intermediary risk assessment, such as information on AML/CTF policies and the nature of the intermediaries business. Intermediaries from non EU/EEA countries will require an enhanced level of due diligence and further information or documentation, including information on underlying investors may also be required.

Documentation which may be required for Non Designated Bodies

1. Confirmation of name, office address, principal business address and registered number
2. Confirmation of regulatory body (if applicable)
3. Certificate of incorporation or certificate to trade (Certified)
4. Memorandum and Articles of Association (Certified)
5. Latest audited financial statements (Certified)
6. Nature and purpose of the entity
7. Authorised mandate or board resolution to establish the business relationship
8. Original authorised signatory list
9. Verification of the identity of all beneficial owners beneficially entitled to more than 25% of the entity's share capital or voting rights or otherwise exercises control over the management of the entity (if no beneficial owner holds more than 25%, verification of this is required (e.g. share register))
10. Original/certified declaration of beneficial owner(s) form
11. Verification of the identity of all senior managing officials if there is no natural person who owns more than 25%

Documentation which may be required for pension fund accounts in certain countries

1. Confirmation of name and address
2. Pension scheme rules
3. Constitutional/formation document (eg: trust deed)
4. Confirmation of registration from the relevant tax authorities or pensions board
5. Verification of the principal employer (if applicable)
6. Name, address and dates of birth of the officers/board members/trustees/directors/governors or equivalent
7. Evidence that the person representing the Pension Scheme is empowered to act (Certified)
8. Original authorised signatory list
9. Original/certified declaration of beneficial owner(s) form
10. Verification of the identity of all senior managing officials if there is no natural person who owns more than 25%

Documentation which may be required for a nominee company

1. Confirmation of name and address of nominee company
2. Original authorised signatory list for the nominee account
3. Confirmation of name and address of parent of nominee
4. Confirmation of Regulatory Body of parent of nominee
5. Original authorised signatory list of parent
6. Proof of regulation (nominee/parent)
7. Original letter of assurance from parent
8. Original/certified declaration of beneficial owner(s) form
9. Verification of the identity of all senior managing officials if there is no natural person who owns more than 25%

Part 18 Anti-Money Laundering—Know Your Customer Requirements (continued)

Documentation which may be required for a Trust/Foundation/Charity

1. Confirmation of name, registered & principal business address
2. Evidence of charitable status (if applicable)
3. Constitutional/formation document (eg: trust deed or equivalent)
4. Nature and purpose
5. ONE personal verification[a] and TWO address verification[c] documents for at least TWO trustees/directors/governors/board members or ONE trustee/director/governor/board member and ONE authorised signatory (original / certified[b])
6. ONE personal verification and TWO address verification documents for any settler (where appropriate)
7. ONE personal verification and TWO address verification documents for all beneficiaries
8. Original authorised signatory list
9. Original/certified declaration of beneficial owner(s) form
10. Verification of the identity of all senior managing officials if there is no natural person who owns more than 25%

Please note that the Administrator can only accept Account Applications from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

[a] Acceptable personal verification documents are a certified copy of a passport or a driver's license or national identity card. The certified documents must be in date, show a picture of the person, full name, date of birth, and signature of the person.

[b] Verification documents must be **certified** by a suitable person/entity, such as: the companies registration office (or the equivalent in the investors jurisdiction) with regard to incorporation documentation, a notary public, a police officer, an embassy/consular official, a chartered or certified public accountant, a practicing solicitor, any Designated Body. Documents should be stamped with the official stamp of the person, dated and signed by that person.

[c] Acceptable address verification documents are any TWO of the following: electricity bill, gas bill, water bill, telephone bill, cable television bill, bank statement or credit card statement, social insurance documents, household/motor insurance certificates. Documents must originate from a different source, show the full name and residential address of the applicant and must be dated within 6 months of submission.

Part 19 Paying Agents

Dodge & Cox Worldwide Funds plc have been registered for sale in Austria, Denmark, Finland, France, Germany, Ireland, Italy, Luxembourg, the Netherlands, Norway, Portugal, South Africa, Spain, Sweden, Switzerland, and the United Kingdom. In certain jurisdictions, as listed below, the Company has appointed a facilities or paying agent pursuant to regulatory requirements.

Country	Entity	Address
Austria, Denmark, Finland, France, Germany, Luxembourg, Netherlands, Norway, Spain, Sweden and Portugal	FE fundinfo (Luxembourg) S.à.r.l.	6 Boulevard des Lumières Belaux 4369 Luxembourg
Switzerland	NPB New Private Bank Ltd	Limmatquai 1 8001 Zurich Switzerland

Appendix I CRS Individual Self-Certification

Complete this CRS Self-Certification for each *Controlling Person* of the applicant where the applicant is a *Passive Non-Financial Entity* or an *Investment Entity* located in a *Non-Participating Jurisdiction* and managed by another *Financial Institution*. For joint or multiple *Controlling Persons*, please complete a separate Self-Certification for each *Controlling Person*.

Mandatory fields are marked with an*.

Controlling Person Identification

Full Name*

Date of Birth* (dd/mm/yyyy) ____/____/____

Place of Birth* (Town/City and Country)

Current Residential Address*

Current Residential Address (cont.)

Appendix I: CRS Individual Self-Certification (continued)

Declaration of Tax Residency*

Please indicate the Controlling Person's country of tax residence (if resident in more than one country, please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number
Country of Tax Residency	Tax ID Number
Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number is required unless the Controlling Person is tax resident in a jurisdiction that does not issue a Tax ID number.

Type of Controlling Person*

Please indicate below the name of the applicant entity and relationship of the Controlling Person to the applicant entity.

Registered Name of Applicant

- ☐ Controlling Person of a legal person – control by ownership
- ☐ Controlling Person of a legal person – control by other means
- ☐ Controlling Person of a legal person – senior managing official
- ☐ Controlling Person of a trust – settler
- ☐ Controlling Person of a trust – trustee
- ☐ Controlling Person of a trust – protector
- ☐ Controlling Person of a trust – beneficiary
- ☐ Controlling Person of a trust – other
- ☐ Controlling Person of a legal arrangement (non-trust) – settler-equivalent
- ☐ Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
- ☐ Controlling Person of a legal arrangement (non-trust) – protector-equivalent
- ☐ Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
- ☐ Controlling Person of a legal arrangement (non-trust) – other-equivalent

Declaration and Undertakings

I declare that the information provided in this Self-Certification is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Self-Certification and information regarding the account holder may be reported to the tax authorities of the country in which the account is maintained and exchanged with tax authorities of another country or countries in which the account holder may be tax resident where those countries (or tax authorities in those countries) have entered into agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature* _____ Date (dd/mm/yyyy) ____/____/____

Print Name*

Capacity*