

# Instructions for Changing Your Account Ownership

Do not use for an IRA

## Instructions

The instructions below will help you change the ownership of your existing account. In most cases, you will need to have your signature(s) authenticated (Medallion Signature Guarantee, SVP or Notary) to change the ownership. In some situations, we will need additional documentation.

Please note that current banking instructions and account options will remain on the account with this change of ownership, unless otherwise noted. To provide new banking instructions, attach a voided check or deposit slip to this form. To update account options, complete and attach the Account Options Form. All forms can be downloaded from the Funds' website at [dodgeandcox.com](http://dodgeandcox.com).

If you have any questions (e.g., appropriate legal documentation, cost basis implications) or if your situation is not addressed, please call Client Services at 800-621-3979 for assistance.

The Change of Ownership Form has four parts and addresses various situations. The following instructions correspond to **Part 3** of the form.

Note: A change in account ownership may result in the unlinking of the account for statement mailings and online access. Please use the **Account Linking Form** if you would like to link accounts with different Tax Identification or Social Security Numbers.

### Part 3A. Change from an Individual Account to a Joint Tenant Account

- Current account owner must obtain a **Medallion Signature Guarantee** in Part 4.
- New account owner must provide signature in Part 5.

### Part 3B. Change from a Joint Account to an Individual Account because of:

#### Death of an Account Owner (Joint Tenants with Right of Survivorship)

- Surviving account owner(s) must obtain a **Medallion Signature Guarantee** in Part 4, OR provide a certified death certificate.
- If required by law in your state of residence, provide a state inheritance tax waiver.

#### Divorce

- Account owners must obtain a **Medallion Signature Guarantee** in Part 4.
- Please provide a **Medallion Signature Guarantee** letter of instructions and a completed **Account Application** if transferring a percentage of the account to a new owner.

### Part 3C. Transfer of Ownership

- Current account owner must obtain a **Medallion Signature Guarantee** in Part 4.
- Recipient must provide a completed Account Application if transferring to a new account.

## Instructions for Changing Your Account Ownership

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Instructions  
(continued)

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### Part 3D. Change of / to a Trust because of:

Individual or Joint Tenants Account to a Trust where Taxpayer Identification Number and account owner(s) of Trust **are not changing**

- Account owner(s) must provide signature(s) in Part 4.
- A copy of the title and signature page(s) of the Trust Agreement must be attached.

Individual or Joint Tenants Account to a Trust where Taxpayer Identification Number and account owner(s) of Trust **are changing**

- Account owner(s) must obtain a **Medallion Signature Guarantee** in Part 4.
- A copy of the title and signature page(s) of the Trust Agreement must be attached.
- If account owner/Trustee and/or Taxpayer Identification Number is changing, provide a completed **Account Application**.

#### Re-Registration

- Provide new Trust name.
- Provide Taxpayer Identification Number.
- New Trustee(s) must obtain a **Medallion Signature Guarantee** in Part 4.

#### Death of a Trustee

- Surviving Trustee(s) must obtain a **Medallion Signature Guarantee** in Part 4, OR provide a certified death certificate.
- If Trustee and/or Taxpayer Identification Number is changing, provide a **Medallion Signature Guarantee** letter of instructions and a completed **Account Application**.

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### Part 3E. UGMA/UTMA to an Individual Account Due to Minor Reaching Age of Majority

- Custodian of account must provide signature in Part 4.
- Enclose a copy of the former minor's birth certificate, or the custodian must have signature authenticated in Part 4.
- Former minor must provide signature in Part 5.

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### Part 3F. UGMA/UTMA Change of Custodian

- Current custodian must have signature authenticated in Part 4.
- New custodian must provide signature in Part 5.
- If current custodian is deceased, new custodian must have signature authenticated in Part 4.

# Change of Ownership Form

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## USA Patriot Act Notice

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person. To invest in the Funds we require your name, address, and other information that will allow us to identify you.

## Mailing Address

**Regular Mail:**

Dodge & Cox Funds  
P.O. Box 219502  
Kansas City, MO 64121-9502

**Express, Certified, or Registered Mail:**

Dodge & Cox Funds  
430 W 7th Street, Suite 219502  
Kansas City, MO 64105-1407

## Part 1 Current Account Information

**Name/Account Registration**

**Name/Account Registration**

**Fund**

**Account Number**

Complete if you would like to change the address or telephone number of the above account.

**Mailing Address**

**City**

( )

**State**

**Zip Code**

**Contact Phone Number**

**Email Address**

If mailing address is a P.O. Box, a street address is also required by the USA PATRIOT Act.

**Street Address**

**City**

**State**

**Zip Code**

## Part 2 Consent for Electronic Delivery

Indicate if you would like to receive your statements and other important documents online (**Corporate and Institutional accounts excluded**). You will receive a notification to the email address provided in Part 1 informing you that the documents are available for viewing on the Funds' website. Confidential account information will not be sent via email. You can change this election at any time.

**Email Address (please print clearly)**

NOTE: To update the email or phone number on your security profile, please log in to your account at [dodgeandcox.com](http://dodgeandcox.com) or call us at (800) 621-3979.

All Documents

OR

Select Document Type:

Account Statements

Confirmation Statements

Fund Reports, Prospectus, and Proxies

Tax Forms

Additional Fund Communications



## Change of Ownership Form

**Part 3**  
**Change of**  
**Ownership Options**  
(continued)

(see instruction page  
for requirements)

E. Change from UGMA/UTMA to Individual Account (Minor has reached age of majority)

**Please note that any banking instructions currently established for this account will be deleted.** To update bank information, attach a voided check or deposit slip to this form.

F. Change of UGMA/UTMA Custodian

|                                    |                                    |                           |           |
|------------------------------------|------------------------------------|---------------------------|-----------|
| Prefix                             | First Name (Name of New Custodian) | Middle Initial            | Last Name |
| - -                                | / /                                |                           |           |
| Custodian's Social Security Number |                                    | Custodian's Date of Birth |           |
| Mailing Address                    |                                    |                           |           |
| City                               |                                    | State                     | Zip Code  |
| ( )                                |                                    |                           |           |
| Contact Phone Number               |                                    | Email Address             |           |

**Part 4**  
**Current Account**  
**Owners'/Agents'**  
**Signature**  
**Authentication**



All account owners/agents must sign exactly as names appear on the current account registration.

|            |                    |
|------------|--------------------|
| Signature  | Date               |
| Print Name | Capacity of Signer |



|            |                    |
|------------|--------------------|
| Signature  | Date               |
| Print Name | Capacity of Signer |

(if applicable)

Medallion Signature Guarantee (if specified), SVP Stamp Guarantee, or Notary Public Stamp

NOTE: A medallion signature guarantee and SVP stamp may be obtained from a domestic bank or trust company, broker, dealer, clearing agency, savings association, or other financial institution which participates in a Medallion program recognized by the Securities Transfer Association. A notary public stamp can be obtained from a notary public signing agent.

